

SAFECOM Criteria Compliance Matrix

Criteria #	Description	Section/Page #
1.	Background and Preliminary Steps	
1.1	Provide an overview and background information on the state and its regions. Include geographic and demographic information.	Section 2.0. Page 12
1.2	List all agencies and organizations that participated in developing the plan. (List them according to the categories recommended for a communications interoperability committee in the All-Inclusive Approach section above.)	Section 2.5. Page 177
1.3	Identify the point of contact. DHS expects that each state will have a full time interoperability coordinator. The coordinator should not represent or be affiliated with any one particular discipline and should not have to balance the coordinator duties with other responsibilities.	Section 2.6. Page 25
1.4	Describe the communications and interoperability environment of the current emergency response effort.	Section 2.2. Page 15
1.5	Include a problem definition and possible solutions that address the challenges identified in achieving interoperability within the SAFECOM Interoperability Continuum.	Section 2.2. Page 15
1.6	Identify any Tactical Interoperability Communications Plans in the state.	Section 2.4. Page 23
1.7	Set the scope and timeframe of the plan.	Section 2.7. Page 26
2.	Strategy	
2.1	Describe the strategic vision, goals, and objectives for improving emergency response interagency wireless communications statewide, including how they connect with existing plans within the state.	Section 5.0. Page 138
2.2	Provide a strategic plan for coordination with neighboring states. If applicable, include a plan for coordination with neighboring countries.	Section 4.3. Page 116 Section 5.0. Page 148
2.3	Provide a strategic plan for addressing data interoperability in addition to voice interoperability.	Section 4.2. Page 66 Appendix K page 198
2.4	Describe a strategy for addressing catastrophic loss of communication assets by developing redundancies in the communications interoperability plan.	Section 4.2. Page 60
2.5	Describe how the plan is, or will become, compliant with the National Incident Management System (NIMS) and the National Response Plan.	Section 4.3. Page 113
2.6	Describe a strategy for addressing communications interoperability with the safety and security elements of the major transit systems, intercity bus service providers, ports, and passenger rail operations within the state.	Section 4.3. Page 117 Section 5.0. Page 149
2.7	Describe the process for periodic review and revision of the state plan.	Section 6.0. Page 151
3.	Methodology	
3.1	Describe the method by which multi-jurisdictional, multi-disciplinary input was provided from all regions of the state. For an example of a methodology that ensures input from all regions, see the Statewide Communication Interoperability Plan, or SCIP, methodology developed by SAFECOM.	Section 3.0. Page 26
3.2	Define the process for continuing to have local input and for building local support of the plan.	Section 6.1. Page 152
3.3	Define how the TICPs were incorporated into the statewide plan.	Section 2.4. Page 23

3.4	Describe the strategy for implementing all components of the statewide plan.	Section 6.0. Page 151
4.	Governance	
4.1	Identify the executive or legislative authority for the governing body of the interoperability effort.	Section 4.1. Page 43
4.2	Provide an overview of the governance structure that will oversee development and implementation of the plan. Illustrate how it is representative of all of the relevant emergency response disciplines and regions in the state.	Section 4.1. Page 45
4.3	Provide the charter for the governing body, and use the charter to state the principles, roles, responsibilities, and processes.	Section 4.1. Page 45 Section 5.0. Page 142
4.4	Identify the members of the governing body and any of its committees.	Section 4.1. Page 46
4.5	Provide a meeting schedule for the governing body	Appendix G page 185
4.6	Describe multi-jurisdictional, multi disciplinary agreements needed for decision making and for sharing resources.	Section 5.0. Page 142
5.	Technology	
5.1	Include a statewide capabilities assessment (or a plan for one) which includes critical communications equipment and related interoperability issues. At a minimum this should include types of radio systems, data and incident management systems, the manufacturer, and frequency assignments for each major emergency responder organization within the state. Ultimately more detailed information will be required to complete the documentation of a migration strategy. States may use the Communications Asset Survey and Mapping (CASM) tool to conduct this assessment.	Section 4.2. Page 56 Section 5.0. Page 144 Appendix H page 188
5.2	Describe plans for continuing support of legacy systems and developing interfaces among disparate systems while migrating to newer technologies.	Section 4.2. Page 65 Section 5.0. Page 144
5.2.1	Describe the migration plan for moving from existing technologies to newly procured technologies.	Section 4.2. Page 67
5.2.2	Describe the process that will be used to ensure that new purchases comply with the statewide plan, while generally allowing existing equipment to serve out its useful life.	Section 4.2. Page 67 Section 5.0 Page 146
6.	Standard Operating Procedures (SOPs)	
6.1	Include an assessment of current local, regional, and state operating procedures which support interoperability.	Section 4.3. Page 108
6.2	Define the process by which the localities, regions, and state will develop, manage, maintain, upgrade, and communicate standard operating procedures (SOPs), as appropriate.	Section 4.3. Page 118
6.3	Identify the agencies included in the development of the SOPs and the agencies expected to comply with the SOPs.	Section 4.3. Page 121
6.4	Demonstrate how the SOPs are NIMS-compliant in terms of the Incident Command System (ICS) and preparedness.	Section 4.3. Page 110
7.	Training and Exercises	
7.1	Define the process by which the state will develop, manage, maintain and upgrade, or coordinate as appropriate, a statewide training and exercises program.	Section 4.4. Page 147
7.2	Describe the process for offering and requiring training and exercises, as well as any certification that will be needed.	Section 4.4. Page 122
7.3	Explain how the process ensures that training is cross-disciplinary.	Section 4.4. Page 147
8.	Usage	
8.1	Describe the plan for ensuring regular usage of the relevant equipment and the SOPs needed to improve interoperability.	Section 4.5. Page 130 Section 5.0. Page 148
9.	Funding	

9.1	Identify committed sources of funding or the process for identifying and securing short- and long-term funding.	Section 4.6. Page 134
9.2	Include a plan for the development of a comprehensive funding strategy. The plan should include a process for identifying ongoing funding sources, anticipated costs, and resources needed for project management and leveraging active projects.	Section 5.0. Page 148 Section 7.0. Page 157
10.	Implementation	
10.1	Describe the prioritized action plan with short- and long-term goals for achieving the objectives.	Section 6.0. Page 151
10.2	Describe the performance measures that will allow policy makers to track the progress and success of initiatives.	Section 6.2. Page 155
10.3	Describe the plan for educating policy makers and practitioners on interoperability goals and initiatives.	Section 6.1. Page 152 Section 5.0. Page 143
10.4	Describe the roles and opportunities for involvement of all local, tribal, and state agencies in the implementation of the statewide plan.	Section 6.1. Page 152
10.5	Establish a plan for identifying, developing, and overseeing operational requirements, SOPs, training, technical solutions, and short- and long-term funding sources.	Section 6.1. Page 152
10.6	Identify a point of contact responsible for implementing the plan.	Section 2.6. Page 25
10.7	Describe critical success factors for implementation of the plan.	Section 6.2. Page 156
11.	PSIC Requirements	
11.1	Describe how public safety agencies will plan and coordinate, acquire, deploy, and train on interoperable communications equipment, software, and systems that: <ul style="list-style-type: none"> 1) utilize reallocated public safety spectrum- the public safety spectrum in the 700 MHz frequency band; 2) enable interoperability with communication systems that can utilize reallocated public safety spectrum for radio communications; or 3) otherwise improve or advance the interoperability of public safety communications systems that utilize other public safety spectrum bands. 	Section 8.0. Page 158 Section 5.0. Page 144
11.2	Describe how a strategic technology reserve (STR) will be established and implemented to pre-position or secure interoperable communications in advance for immediate deployment in an emergency or major disaster.	Section 4.3. Page 60
11.3	Describe how local and tribal government entities' interoperable communications needs have been included in the planning process and how their needs are being addressed.	Section 3.0. Page 27 Section 6.1. Page 152
11.4	Describe how authorized non-governmental organizations' interoperable communications needs have been included in the planning process and how their needs are being addressed (if applicable).	Section 3.0. Page 27 Section 6.1. Page 152